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Please see attached.

Ref: 20397.611.301

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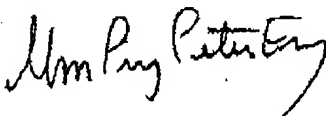
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PAGE 1/5 \* RCVD AT 2/9/2004 6:29:23 PM [Eastern Standard Time] \* SVR:USPTO-EFXXRF-1/5 \* DNIS:8729306 \* CSID:650 493 6811 \* DURATION (mm-ss):01-10

FORM PTO-1595		RECORDATION FORM COVER SHEET	U.S. DEPARTMENT OF COMMERCE
1-31-92		PATENTS ONLY	Patent and Trademark Office
To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.			
1. Name of conveying party(ies):  Alcatel Optronics UK Limited  Additional name(s) of conveying party(ies) attached?  <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		2. Name and address of receiving party(ies):  Name: Avanex U.K. Limited  Street Address: Starlaw Park  Starlaw Road  Livingston EH54 8SF  UK  Additional name(s) & address(es) attached?  <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
3. Nature of conveyance:  <input type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input checked="" type="checkbox"/> Change of Name <input type="checkbox"/> Other  Execution Date: July 31, 2003			
4. Application number(s) or patent number(s): 1 If this document is being filed together with a new application, the execution date of the application is:			
A. Patent Application No.(s): 10/734,110		B. Patent No.(s):	
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: U.P. Peter Eng Internal Address: FH 1-2 Wilson Sonsini Goodrich & Rosati 650 Page Mill Road Palo Alto, CA 94304-1050		6. Total number of applications and patents involved: [1]  7. Total fee (37 CFR 3.41) .....\$40.00 <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account  8. Deposit account number: <u>23-2415</u> (Attorney Docket No.: 20397.671.301 )	
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9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i>  <div style="text-align: center;"></div> <div style="display: flex; justify-content: space-between;"><div>Name of Person Signing U. P. Peter Eng</div><div>Signature</div><div>Date 2/9/04</div></div> Total number of pages including cover sheet, attachments, and document: [ 4 ]			

## Attachment A

Alcate Ref No.	Attorney Docket No.	Serial Number	Patent Number	Reel	Frame
104420	20397.671.301	10/734,110			

**FILE COPY**



**CERTIFICATE OF INCORPORATION  
ON CHANGE OF NAME**

Company No. 3517183

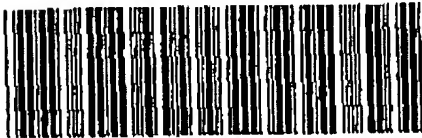
The Registrar of Companies for England and Wales hereby certifies that

ALCATEL OPTRONICS UK LIMITED

having by special resolution changed its name, is now incorporated  
under the name of

AVANEX U.K. LIMITED

Given at Companies House, Cardiff, the 1st August 2003



\*C035171830\*



THE OFFICIAL SEAL OF THE  
REGISTRAR OF COMPANIES



*Companies House*

*for the record*

